

# Oriented graph colouring and reverse criticality

Ashley Wakefield

Supervisor: Dr Christopher Duffy



## Introduction

One method of defining a graph colouring is by way of graph homomorphism, a vertex mapping that preserves the existence of edges:  $\chi(G) \leq t \iff G \rightarrow K_t$ . The colour of each vertex is its image under this mapping.

Applying this definition to oriented graphs we obtain the following definition.

**Definition 1** (Oriented Graph Colouring). A mapping from the vertices of  $G$  to a set of colours such that:

1.  $c(u) \neq c(v)$  for every arc  $uv$  in  $G$
2.  $c(u) \neq c(y)$  for every pair of arcs  $uv$  and  $xy$  with  $c(v) = c(x)$  [1]

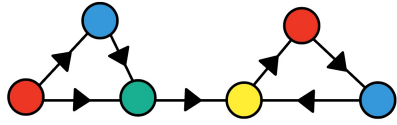


Figure 1: colouring of an oriented graph

**Definition 2** (Oriented Chromatic Number).  $\chi_o(G)$  is the smallest  $k$  such that there is an oriented colouring of  $G$  with  $k$  colours. [1]

From this concept, two key paths of enquiry occur;

1. how to determine  $\chi_o(G)$  algorithmically, and
2. the existence of oriented graphs such that reversing any arc reduces  $\chi_o(G)$ .

## Binary linear program to determine $\chi_o(G)$

$$\begin{aligned} \min \quad & \sum_i X_i \\ \text{s.t.} \quad & \sum_{i \in C} X_{u,i} = 1 \quad u \in V \\ & X_{u,i} + X_{v,i} \leq X_i \quad uv \in A, i \in C \\ & X_{u,i} + X_{v,j} + X_{u',j} + X_{v',i} \leq 4 \quad uv, u'v' \in A \\ & X_i \in \{0, 1\} \quad i \in C \\ & X_{u,i} \in \{0, 1\} \quad u \in V, i \in C \end{aligned}$$

**Theorem 1** The binary linear program above determines  $\chi_o(G)$ .

The constraints limit the scope of the binary linear program to valid oriented colourings. We have  $X_i = 1$  when colour  $i$  is used.  $X_{u,i} = 1$  when colour  $i$  is used on vertex  $u$ . Constraint 1 ensures each vertex has exactly 1 colour. Constraints 2 and 3 are the constraints from the definition of an oriented colouring.

The objective function minimises the number of colours used. By definition the smallest  $k$  such that there is a valid oriented colouring with  $k$  colours is  $\chi_o(G)$ .

**Note:** As there are no efficient algorithms to solve binary linear programs, this is not an efficient method for determining  $\chi_o(G)$  of an oriented graph.

## Reverse criticality

**Definition 3** (Reverse criticality) An oriented graph  $G$  is reverse critical if the reversal of any arc in  $G$  decreases  $\chi_o(G)$ .

In looking for graphs that are reverse critical, we start by looking at cycles. The oriented chromatic number of orientations of cycles is fully classified in [1].

**Theorem 2** An orientation of a cycle is reverse critical if and only if it is a directed cycle with  $n \equiv 2 \pmod{3}$  vertices.

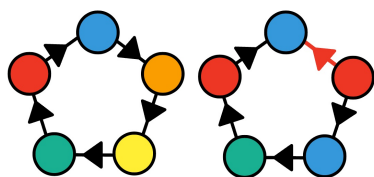


Figure 2: a reverse critical directed cycle

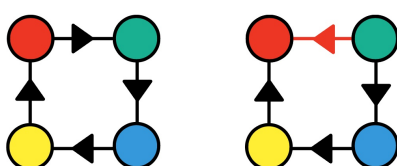


Figure 3: a directed cycle that is not reverse critical

## Oriented cliques

Recall that a clique is a graph on  $n$  vertices for which  $\chi(G) = n$ . This motivates the following definition.

**Definition 4** (Oriented Clique). An oriented graph  $G$  on  $n$  vertices is an oriented clique if  $\chi_o(G) = n$ .

**Theorem 3** An oriented clique is an oriented graph where all pairs of vertices are connected by a path of length 1 or 2.

**Definition 5** Let  $G$  and  $H$  be oriented graphs. Denote by  $G \bowtie H$  the oriented graph constructed by connecting  $G$  and  $H$  via a universal vertex  $v$ , such that there is an arc from each vertex in  $V(G)$  to  $v$ , and from  $v$  to each vertex in  $V(H)$ . [1]

**Theorem 4** (Reverse critical clique construction). If  $G$  and  $H$  are reverse critical oriented cliques, then  $G \bowtie H$  is a reverse critical oriented clique.

Iterating this construction, starting with  $P_3 \bowtie P_3$ , yields reverse critical oriented cliques with  $n$  vertices and  $m = 2 + (n + 1) \log_2(\frac{n+1}{4})$  arcs, where  $n$  is of the form  $2^t - 1$ .

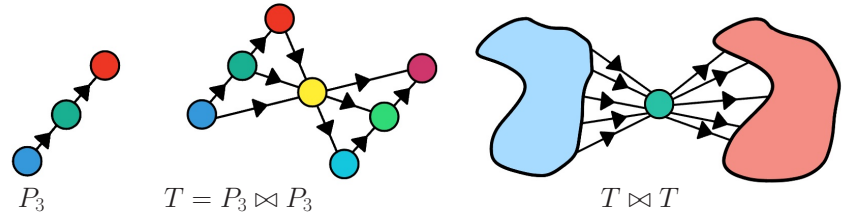


Figure 4: reverse critical clique construction

Iterating with  $H = K_1$  in the construction yields reverse critical cliques with  $n$  vertices and  $m = \frac{n^2-1}{4}$  arcs, where  $n$  is odd.

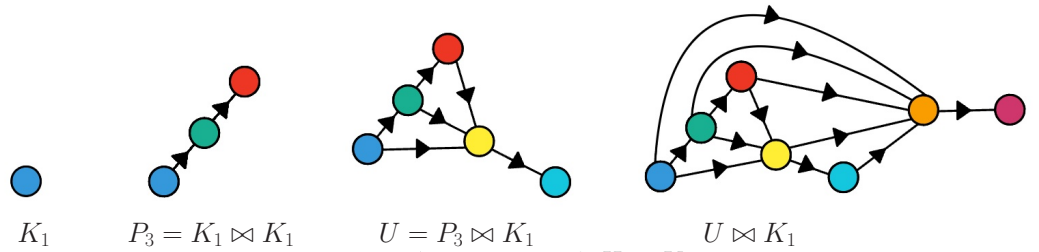


Figure 5: iterating with  $H = K_1$

**Definition 6** (Alternative reverse critical clique construction). For fixed  $k$ , begin with  $k$  vertices. For each sequence  $\{+, -\}^k$ , add a vertex where the arcs between the new vertex and the original  $k$  vertices are directed according to the entries in the sequence. This oriented graph has  $n = 2^k + k$  vertices and  $m = k2^k$  arcs.

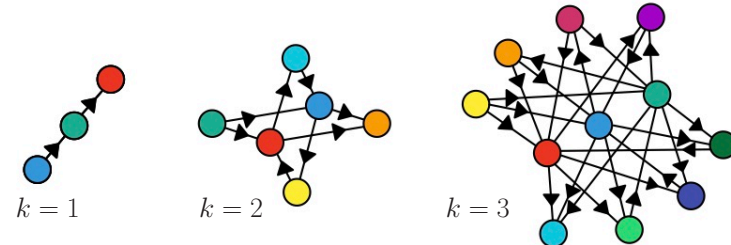


Figure 6: alternative reverse critical clique construction for  $k = 1, 2, 3$

## Minimising and maximising arcs in cliques

By computer search we find the reverse critical cliques with the least and most arcs for  $n$  from 1 – 9. Denote these values as  $s(n)$  and  $l(n)$  respectively. **note:** there are no reverse critical cliques with 2 or 4 vertices.

$n$	$s(n)$	$l(n)$	Upper bound
1	0	0	0
2	—	—	1
3	2	2	2
4	—	—	4
5	5	6	6
6	8	9	10
7	10	12	14
8	13	16	18
9	15	21-24	24

Upper bound:

There are  $\binom{n}{2}$  pairs of vertices in a reverse critical clique,  $m$  of these pairs are directly connected by an arc. Consider labelling the arcs in a reverse critical clique with all pairs of vertices that they are part of a directed 2-path between. Each arc must have at least 1 label to be critical and each pair of vertices will appear twice. Therefore, there are at least  $\frac{m}{2}$  unique pairs labeled. From this, we obtain the inequality  $m \leq \lfloor \frac{2}{3} \binom{n}{2} \rfloor$  providing an upper bound for the number of arcs in a reverse critical clique. As oriented graphs have integer number of arcs, we can take the floor of the upper bound.

## Problems for further investigation

- Are the sequences  $s(n)$  and  $l(n)$  monotone increasing?
- Is there a counting argument that will provide an improved upper bound for  $l(n)$ ?

## Acknowledgements

I would like to thank my supervisor, Dr Christopher Duffy for being very generous with his time and insights and making this project so approachable and interesting. I would also like to thank the School of Mathematics and Statistics for giving me this amazing opportunity.

## References

- [1] Sopena, Eric. (2015). *Homomorphisms and colourings of oriented graphs: An updated survey*. Discrete Mathematics. 339. 10.1016/j.disc.2015.03.018.