# THE SEIFERT-VAN KAMPEN THEOREM

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# Introduction

The fundamental group is a powerful tool in the study of topological spaces. A natural question arises when we consider the union of spaces: how do we deduce the fundamental group of the union space from the fundamental groups of individual spaces? The Seifert-van Kampen theorem answers the question partially. In particular, if C is an open cover of the space X that is closed under finite intersection, such that each  $U \in C$  is path-connected and contains the basepoint  $x_0 \in X$ , then we can write  $\pi_1(X, x_0)$  in terms of the  $\pi_1(U, x_0)$ 's.

### **The Fundamental Group Version**

Next we try to apply the subdivision trick to fundamental groups to obtain  $\pi_1(X, x_0) = colim_{U \in C} \pi_1(U, x_0)$ . Here we have a problem: once we subdivide a loop, the subdivisions are no longer loops, but mere paths(2.1). In order to turn them into loops, we have to choose a path from  $x_0$  to each of the end points(2.2). Further more, we want these paths to be in the same elements of C as the corresponding subdivisions, so that every resulting loop also lies in that element.



So for each  $U \in C$  we are choosing a path  $f_y^U$  from  $x_0$  to every  $y \in U$ . Categorically, this choice corresponds to a functor

 $F_U: \Pi(U) \to \pi_1(U, x_0) \text{ which sends a morphism } [g]: y \to z \text{ to } [f_y^U * g * \overline{f_z^U}].$ Let  $J_U: \pi_1(U, x_0) \to \Pi(U)$  denote the inclusion functor, then since C is finite, we can choose the  $f_y^U$ 's such that 1. For any  $U \in C$ ,  $f_{x_0}^U$  is the constant path at  $x_0$ 2. For any  $U, V \in C$ ,  $f_y^U = f_y^V$  if  $y \in U \cap V$  $F := \{F_U\}_{U \in C} : \Pi|_{\mathscr{C}} \to \pi_1|_{\mathscr{C}}$  is a natural transformation with  $F \circ J = Id$ . For every  $y \in X$ , let  $f_y^X = f_y^U$  if  $y \in U$ . Since C covers X, the  $f_y^X$ 's define a functor  $F_X: \Pi(X) \to \pi_1(X, x_0)$  with  $F_X \circ J_X = Id$ . Define  $\mu: \pi_1|_{\mathscr{C}} \to \Delta_{\pi_1(X, x_0)}$  to be the natural transformation where components are  $\mu_U = \pi_1(i: U \hookrightarrow X)$ . A comparison of components gives the following commutative diagrams of functors from  $\mathscr{C}$  to *Groupoid*:

# **The Fundamental Groupoid Version**

Before tackling  $\pi_1(X, x_0)$ , let us consider  $\Pi_1(X)$ . We can view C as a subcategory  $\mathscr{C}$  of Top, where objects are the elements of C with the subspace topology, and morphisms are the inclusion maps. The restriction of the fundamental groupoid functor  $\Pi_1$  to  $\mathscr{C}$  gives a diagram of groupoids, which has the colimit  $\Pi_1(X) \cong colim_{U \in \mathscr{C}} \Pi_1(U)$ .

To see the result above, given a groupoid GP and natural transformation  $\eta: \Pi_1|_{\mathscr{C}} \to \Delta_{GP}(\Delta_{GP} \text{ denotes the constant functor at } GP)$ , we want to find a unique  $\tilde{\eta}: \Pi_1(X) \to GP$  such that  $\tilde{\eta} \circ \gamma = \eta$ , where  $\gamma: \Pi_1|_{\mathscr{C}} \to \Delta_{\Pi_1(X)}$  is the natural transformation with components  $\gamma_U = \Pi_1(i: U \hookrightarrow X)$ .

- On objects, we must have  $\tilde{\eta}(x) = \eta_U(x)$  for  $x \in U \in C$ .
- On morphisms, given a path f in X, using the Lebesgue number lemma we can subdivide [0, 1] into n sub-intervals such that the image of each subinterval  $I_k$  under f lies in some  $U_k \in C$ . Reparametrizing each  $f|_{I_k}$  to a path  $f_k$ , we decompose  $[f] = [f_1 * f_2 * ... * f_n] = \circ_{k=1}^n [f_k]$ , and  $\tilde{\eta}([f]) = \circ_{k=1}^n \tilde{\eta}([f_k]) = \circ_{k=1}^n \eta_{U_k}([f_k]).$

The above proof for uniqueness almost gives a definition for  $\tilde{\eta}$ . We just need to verify that it is well-defined.

• On objects, if  $x \in U \in C$  and  $x \in V \in C$ , then  $x \in U \cap V \in C$ , and  $\eta_U(x) = \eta_{U \cap V}(x) = \eta_V(x)$  by naturality of  $\eta$ .

• On morphisms, if a path f lies entirely in both  $U \in C$  and  $V \in C$ , then f lies in  $U \cap V \in C$ , and  $\eta_U([f]) = \eta_{U \cap V}([f]) = \eta_V([f])$  by naturality of  $\eta$ . Generally, given paths f and g in X with [f] = [g], suppose we decompose them as  $[f] = [f_1 * f_2 * ... * f_n]$  and  $[g] = [g_1 * g_2 * ... * g_m]$ , where each  $f_i$  is a path in  $U_i \in C$  and each  $g_j$  is a path in  $V_j \in C$ . We want to prove that  $\circ_{i=1}^n \eta_{U_i}([f_i]) = \circ_{j=1}^m \eta_{V_j}([g_j])$ .

Since [f] = [g] we can find a path homotopy  $H : I \times I \to X$  from f to g. Using the Lebesgue number lemma again we can subdivide  $I \times I$  into  $(mnr)^2$  sub-squares of equal size such that the image of each  $I_i \times I_j$  under H lies entirely in some  $W_{i,j} \in C$ .

For  $i \in \{1, 2, ..., mnr\}$  and  $j \in \{0, 1, ..., mnr\}$ , define  $p_{i,j} : I \to X$  by



combining the results above we have the following:



Given group G and  $\xi : \pi_1|_{\mathscr{C}} \to \Delta_G$ , let  $\eta = \xi \circ F$ . By the groupoid version of the theorem,  $\exists ! \tilde{\eta} : \Delta_{\Pi(X)} \to \Delta_G \ s.t. \ \tilde{\eta} \circ \gamma = \eta$ . Uniqueness: if  $\tilde{\xi} \circ \mu = \xi$  then  $\tilde{\xi} \circ F_X \circ \gamma = \tilde{\xi} \circ \mu \circ F = \xi \circ F = \eta$ , so  $\tilde{\xi} \circ F_X = \tilde{\eta}$  and  $\tilde{\xi} = \tilde{\eta} \circ J_X$ . Existence:  $\tilde{\eta} \circ J_X \circ \mu = \tilde{\eta} \circ \gamma \circ J = \eta \circ J = \xi \circ F \circ J = \xi$ ; Thus  $\tilde{\xi} = \tilde{\eta} \circ J_X$  is the unique map of cocones required,  $\pi_1(X, x_0) = colim_{U \in C} \pi_1(U, x_0)$ .  $p_{i,j}(s) = H(mnrs - i + 1, \frac{j}{mnr})$ . These paths correspond to horizontal edges of the sub-squares;

For  $i \in \{0,1,...,mnr\}$  and  $j \in \{1,2,...,mnr\}$ , define  $q_{i,j} : I \to X$  by  $q_{i,j}(s) = H(\frac{i}{mnr}, mnrs - j + 1)$ . These paths correspond to vertical edges of the sub-squares. It follows that

 $\circ_{i=1}^{n} \eta_{U_i}([f_i]) = \circ_{i=1}^{mnr} \eta_{W_{i,1}}([p_{i,0}]) \text{ and } \circ_{j=1}^{m} \eta_{V_j}([g_j]) = \circ_{i=1}^{mnr} \eta_{W_{i,mnr}}([p_{i,mnr}]).$ Now it suffices to show that the right hand sides are equal.

We have the following relations:

(a) Observe that for any  $i, j \in \{1, 2, ..., mnr\}, p_{i,j}(I) \subseteq W_{i,j} \cap W_{i,j+1}$ , so  $\eta_{W_{i,j}}([p_{i,j}]) = \eta_{W_{i,j+1}}([p_{i,j}])$ , hence  $\circ_{i=1}^{mnr} \eta_{W_{i,j}}([p_{i,j}]) = \circ_{i=1}^{mnr} \eta_{W_{i,j+1}}([p_{i,j}])$  for  $j \in \{1, 2, ..., mnr\}$ . (1.1) (b) For any  $j \in \{0, 1, ..., mnr\}, q_{0,j+1} * p_{1,j}$  is homotopic to  $p_{1,j+1} * q_{1,j+1}$ , so  $\eta_{W_{1,j+1}}([q_{0,j+1}]) \circ \eta_{W_{1,j+1}}([p_{1,j}]) = \eta_{W_{1,j+1}}([p_{1,j+1}]) \circ \eta_{W_{1,j+1}}([q_{1,j+1}])$   $= \eta_{W_{1,j+1}}([p_{1,j+1}]) \circ \eta_{W_{2,j+1}}([q_{1,j+1}])$ . (1.2) After mnr similar operations we get  $\circ_{i=1}^{mnr} \eta_{W_{i,j+1}}([p_{i,j}]) = \circ_{i=1}^{mnr} \eta_{W_{i,j+1}}([p_{i,j+1}])$ . (1.3)

Repetitively apply results (a) and (b) to  $\circ_{i=1}^{mnr} \eta_{W_{i,1}}([p_{i,0}])$ , and we have the desired equality  $\circ_{i=1}^{mnr} \eta_{W_{i,1}}([p_{i,0}]) = \circ_{i=1}^{mnr} \eta_{W_{i,mnr}}([p_{i,mnr}])$ .



#### References

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